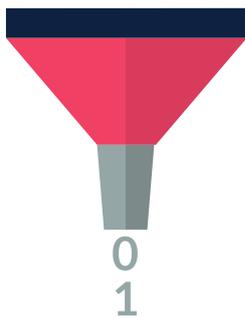




## eFax Corporate<sup>®</sup> Transport Layer Security (TLS)

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*The eFax Corporate<sup>®</sup> TLS Service is a secure email encryption solution that ensures the privacy and integrity of faxed documents as they are transported via SMTP email over the Internet.*

*This TLS service complies with NHS and other related data privacy guidelines, which require that personal health information, transmitted across the Internet, be protected either through encryption or encrypted tunnels.*

### What is TLS?

TLS is the IETF (Internet Engineering Task Force) standard for “Transport Layer Security,” and the successor to SSL (Secure Sockets Layer) 3.0. TLS 1.0 was first specified in IETF RFC (Request for Comments) 2244. The latest and most secure version is TLS 1.2 (RFC 5246), which is supported by eFax Corporate<sup>®</sup> TLS Service.

The IETF also defines how TLS can be used to provide secure communications for SMTP email, namely through RFC 3207, “SMTP Service Extension for Secure SMTP over TLS.”

According to RFC 3207, SMTP over TLS:

*“allows an SMTP server and client to use transport-layer security to provide private, authenticated communication over the Internet. This gives SMTP agents the ability to protect some or all of their communications from eavesdroppers and attackers.”*



### How does it work?

The use of TLS is negotiated between SMTP servers by use of a single Service Extension to SMTP, known as STARTTLS. When the SMTP sender initiates the SMTP connection, it may issue this command to the SMTP receiving server to request that TLS is invoked on the same connection. If the request is accepted, the two servers will validate each other's certificates and the channel then becomes encrypted. After this point, all communications between the servers will be private.

### Forced TLS

TLS has two modes of operation; opportunistic mode, and forced mode. The eFax Corporate TLS Service uses only the forced mode of TLS. With forced TLS, if the sending MTA is unable to establish a secure connection, the entire transmission will fail. In other words, all eFax Corporate® TLS fax transmissions must be encrypted, or the transmission will not take place.



### Why use the TLS approach?

TLS has several advantages over S/MIME, another protocol for email encryption. First, certificate exchange and verification happens automatically within the protocol. There is no need to perform manual exchanges of certificates for every server with which you want to communicate.

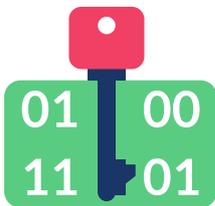
Second, S/MIME encrypts the message body, but not the message header. Although the content might be encrypted, the subject, sender and recipient information are in the clear. By contrast, a TLS solution encrypts the entire channel, hiding all data.

Finally, as TLS SMTP works transparently between servers, it is unaffected by whether or not some messages have already been encrypted at the desktop by another method like S/MIME. This allows TLS to be combined with other encryption choices.

## Standards

The eFax Corporate TLS Service is based upon the Internet standard for encrypting email communication, known as “SMTP over TLS” (or STARTTLS). STARTTLS allows the formation of an encrypted communication channel between a pair of mail servers, ensuring the privacy and integrity of any messages exchanged.

Subscribers using the eFax Corporate TLS Service will require a mail server (MTA) that supports STARTTLS. Fortunately, such support exists in the vast majority of commercial and non-commercial MTAs and so for most, no additional investment or technology deployment will be required.



## Certificates and Authentication

The eFax Corporate TLS Service maintains two sets of certificates and accompanying private keys. One of these certificate/key pairs will be used when the service is acting in the role of the client – i.e., it is originating an SMTP session. The second certificate/key pair is used when the service is acting in the role of the server – i.e., it is accepting external SMTP communications.

## Inbound Fax Authentication

Where the eFax Corporate TLS Service originates a TLS connection, the accepting MTA will need to provide its server certificate for authentication. If the accepting MTA wishes to authenticate the eFax Corporate TLS Service, eFax will supply its client certificate for authentication.



## Outbound Fax Authentication

Where an external MTA originates a TLS connection, then the eFax Corporate TLS Service will supply its server certificate for authentication, but will not normally insist on the external MTA supplying its client certificate for authentication. However, a subscriber may elect to have an authentication check made on inbound connections. eFax currently uses a VeriSign certificate for this authentication.

## Certificate Validation

The validation of any certificate is based upon the identity of the Certificate Authority (CA) that has signed the certificate, together with a check on specific certificate content. For each certificate submitted by a remote mail server as part of a TLS connection, the eFax Corporate TLS Service will validate that a recognised CA has signed the certificate.

In addition, the certificate will be checked to ensure that it has not expired and that it relates correctly to the identity of the external mail server. If a certificate cannot be validated, then the connection will normally be aborted as it cannot be authenticated — and any associated messages will be non-delivered.

**All major CAs will be recognised by the eFax Corporate TLS Service. Self-signed certificates may also be used.**



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